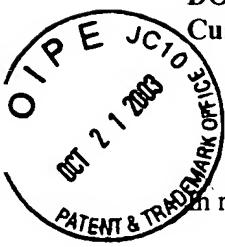


3762



DOCKET NO. 02-004DIV2 (ANSI01-00039)  
Customer No. 36029

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Damon Ray Black, et al.  
 Serial No. : 10/042,992  
 Filed : January 9, 2002  
 For : IMPLANTABLE LEAD AND METHOD OF MANUFACTURE  
 Group No. : 3762  
 Examiner : (Not Yet Assigned)

RECEIVED

OCT 24 2003

TECHNOLOGY CENTER 43700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

Sir:

The undersigned hereby certifies that the following documents:

1. Information Disclosure Statement;
2. Form PTO/SB/08A;
3. Twenty-seven (27) references as cited in Information Disclosure Statement; and
4. A postcard receipt;

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 17, 2003.

Date: October 17, 2003Date: 10/17/2003

Robert D. McCutcheon  
Mailer  
Robert D. McCutcheon  
Robert D. McCutcheon  
Reg. No. 38,717

P.O. Box 802432  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
E-mail: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)

DOCKET NO. 02-004DIV2 (ANSI01-00039)  
Customer No. 36029



#5 JD  
10/24/03  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Damon Ray Black, et al.  
Serial No. : 10/042,992  
Filed : January 9, 2002  
For : IMPLANTABLE LEAD AND METHOD OF MANUFACTURE  
Group No. : 3762  
Examiner : (Not Yet Assigned)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
OCT 24 2003  
TECHNOLOGY CENTER R9700

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement.

This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. Copies of the listed references are submitted herewith.

<u>U.S. PATENT NO.</u>	<u>INVENTOR</u>	<u>DATE</u>
4,285,347	Hess	August 25, 1981
4,369,791	Friedman	January 25, 1983
4,374,527	Iversen	February 22, 1983
4,379,462	Borkan et al.	April 12, 1983

<u>U.S. PATENT NO.</u>	<u>INVENTOR</u>	<u>DATE</u>
4,432,377	Dickhudt	February 21, 1984
4,437,474	Peers-Trevarton	March 20, 1984
4,458,695	Peers-Trevarton	July 10, 1984
4,514,589	Aldinger et al.	April 30, 1985
4,549,556	Tarjan et al.	October 29, 1985
4,592,372	Beranek	June 3, 1986
4,699,157	Shonk	October 13, 1987
5,121,754	Mullett	June 16, 1992
5,330,521	Cohen	July 19, 1994
5,374,285	Vaiani et al.	December 20, 1994
5,417,208	Winkler	May 23, 1995
5,458,629	Baudino et al.	October 17, 1995
5,555,618	Winkler	September 17, 1996
5,562,722	Racz et al.	October 8, 1996
5,827,272	Breining et al.	October 27, 1998
5,897,584	Herman	April 27, 1999
6,236,892	Feler	May 22, 2001

<u>FOREIGN PATENT NO.</u>	<u>COUNTRY</u>	<u>DATE</u>
EP 0 293 499 A1	Europe	December 7, 1988
EP 0 329 112 A1	Europe	August 23, 1989
EP 0 622 089 A2	Europe	November 2, 1994
WO 95/22371	PCT	August 24, 1995
WO 98/34678	PCT	August 13, 1998

FOREIGN PATENT NO.

WO 98/47560

COUNTRY

PCT

DATE

October 29, 1998

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

DAVIS MUNCK, P.C.



Robert D. McCutcheon  
Registration No. 38,717

Date: 10/17/2003  
P.O. Drawer 802432  
Dallas, Texas 75380  
Phone: (972) 628-3600  
Fax: (972) 628-3616  
email: [rmccutcheon@davismunck.com](mailto:rmccutcheon@davismunck.com)



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/042,992
(use as many sheets as necessary)				Filing Date	January 9, 2002
				First Named Inventor	Damon Ray Black
				Art Unit	3762
				Examiner Name	Not yet assigned
Sheet	1	of	2	Attorney Docket Number	02-004DIV2 (ANSI01-00039)

**U.S. PATENT DOCUMENTS**

CITED PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BA	US- 4,285,347	08/25/1981	Hess	
	BB	US- 4,369,791	01/25/1983	Friedman	
	AC	US- 4,374,527	02/22/1983	Iversen	
	AD	US- 4,379,462	04/12/1983	Borkan et al.	
	AE	US- 4,432,377	02/21/1984	Dickhadt	
	AF	US- 4,437,474	03/20/1984	Peers-Trevarton	
	AG	US- 4,458,695	07/10/1984	Peers-Trevarton	
	AH	US- 4,514,589	04/30/1985	Aldinger et al.	
	AI	US- 4,549,556	10/29/1985	Tarjan et al.	
	AJ	US- 4,592,372	06/03/1986	Beranek	
	AK	US- 4,699,157	10/13/1987	Shonk	
	AL	US- 5,121,754	06/16/1992	Mullett	
	AM	US- 5,330,521	07/19/1994	Cohen	
	AN	US- 5,374,285	12/20/1994	Vaiani et al.	
	AO	US- 5,417,208	05/23/1995	Winkler	
	AP	US- 5,458,629	10/17/1995	Baudino et al.	
	AR	US- 5,555,618	09/17/1996	Winkler	
	AS	US- 5,562,722	10/08/1996	Racz et al.	
	AT	US- 5,827,272	10/27/1998	Breining et al.	
		US-			

**RECEIVED**  
OCT 24 2003  
**TECHNOLOGY CENTER R700**

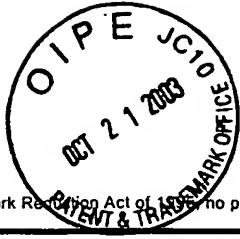
## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 2 of 2

<i><b>Complete if Known</b></i>	
<b>Application Number</b>	10/042,992
<b>Filing Date</b>	January 9, 2002
<b>First Named Inventor</b>	Damon Ray Black
<b>Art Unit</b>	3762
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	02-004DIV2 (ANSI01-00039)

## **U.S. PATENT DOCUMENTS**

**RECEIVED**  
OCT 24 2003  
TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	----------------------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.